

TITLE, ALLEGATION AND COUNTS

STATE OF CONNECTICUT VS. (Name of Accused)
Graves, Clifton E. Jr.
ADDRESS 85 Brooklawn Circle
New Haven, CT.
TO BE HELD AT (Town) Hartford
COURT DATE 11/24/01

The undersigned Deputy Assistant State's Attorney of the Superior Court of the State of Connecticut on oath of office charges that said Deputy Assistant State's Attorney has reason to believe and does believe that:

FIRST COUNT - DID COMMIT THE OFFENSE OF
Failure to file a 1998 Connecticut income tax return
AT (Town) Hartford ON OR ABOUT (Date) 4-15-1999 IN VIOLATION OF GENERAL STATUTE NO. 12-737(a)

SECOND COUNT - DID COMMIT THE OFFENSE OF
Failure to file a 1999 Connecticut income tax return
AT (Town) Hartford ON OR ABOUT (Date) 4-18-2000 IN VIOLATION OF GENERAL STATUTE NO. 12-737(a)

THIRD COUNT - DID COMMIT THE OFFENSE OF
Failure to file a 2000 Connecticut income tax return
AT (Town) Hartford ON OR ABOUT (Date) 4-17-2001 IN VIOLATION OF GENERAL STATUTE NO. 12-737(a)

CONTINUED TO PURPOSE REASON
1/14/02 P 8/15/02 P
1/30/02 P 9-18-02 P
2/28/02 P 10/16/01
4-1-02 P 11-5-02 V
5/6/02 P 11-4-04 A
6/5/02 P 11-22-04
7/16/02 P P-L C Rec

SEE OTHER SHEET(S) FOR ADDITIONAL COUNTS DATE 10-31-01 SIGNED (Deputy Asst. State's Attorney)

COURT ACTION

DEFENDANT ADVISED OF RIGHTS BEFORE PLEA (JUDGE) Ward NOV 26 2001 BOND \$3000 SURETY 10% CASH ELECTION 90% (Date) CT JY 22 SEIZED PROP. INVENTORY

COUNT	PLEA DATE	PLEA	PLEA WITHDRAWN		VERDICT FINDING	FINE	REMIT	ADDITIONAL DISPOSITION
			DATE	NEW PLEA				
1	NOV 22 2004	PF NB		66		\$	\$	1yr. es. 2yr. prob.
2	NOV 22 2004	PF NB				\$	\$	nolle
3	NOV 22 2004	PF NB				\$	\$	nolle

DATE SEP 18 2002 OTHER COURT ACTION App. filed - notice and - TAX agent present + does not object. Subject App. fee imposed. NOV 03 2002 AR prog. granted for 2yrs - fee imposed - see attached for AR Cnds. 11-5-02 \$100.00 AR prog. fee pd.

RECEIPT NO. COST IMP NCI BOND INFORMATION BOND FORFEITED FORFEITURE VACATED FORFEITURE VACATED AND BOND REINSTATED

APPLICATION FEE - RECEIPT NO. IF PAID CIRCLE ONE W I Q PROGRAM FEE - RECEIPT NO. IF PAID CIRCLE ONE W I Q PROBATION FEE - RECEIPT NO. IF PAID CIRCLE ONE W I Q

STATE'S ATTORNEY ORIGINAL DISPOSITION REPORTER/MONITOR BY ORIG. DISP. SIGNED (Clerk) SIGNED (Judge)

ARREST WARRANT

G.A. NO. 14 DATE NOV - 1 2001 STATE OF CONNECTICUT

TO: Any Proper Officer of the State of Connecticut

By AUTHORITY OF THE STATE OF CONNECTICUT, you are hereby commanded to arrest the body of the within-named accused.

("X" all that apply)

- A. Accused is ordered to be brought before a clerk or assistant clerk of the Superior Court.
B. Accused is not entitled to bail.

If A, B or both are checked above, you shall without undue delay bring the arrested person before the clerk or assistant clerk of the Superior Court for the geographical area where the offense is alleged to have been committed...

- C. Bail set at \$
D. Conditions of release not determined by court.

EXTRADITION BOUNDARIES ESTABLISHED BY PROSECUTOR

BY THE COURT

SIGNED (Judge of the Superior Court)

Taylor

RETURN ON ARREST WARRANT

G.A. NO. 14 TOWN OF HARTFORD DATE 11/28/01 STATE OF CONNECTICUT

Then and there, by virtue of the within and foregoing complaint and warrant, I arrested the body of the within-named accused and read the same in the hearing of said accused; and have said accused here in court for examination.

ATTEST (Officer's Signature and Department)

REVENUE SERVICE

Table with columns: DATE, OTHER COURT ACTION, JUDGE. Includes handwritten entries: 11-4-04 AR Terminated, 12-20-04 X, 12-20-04 ADVISED OF PENALTY OF GUILTY PLEA PLEA FOUND VOLUNTARY ADVISED OF IMMIGRATION LAWS. See attached sheet. Judge: S/Suslo, St Miller.

NOTICE OF RIGHTS

JD-CR-5 Rev. 6-99
P.B. Sec. 7-3, 38-1, 38-2
C.G.S. §§ 54-1b, 54-2a, 54-63c, 54-64b

STATE OF CONNECTICUT
JUDICIAL BRANCH
SUPERIOR COURT



INSTRUCTIONS

TO CLERK OF COURT

1. Prepare in duplicate.
2. Give original to Defendant.
3. Retain copy for file.

TO OTHER AGENCIES

1. Prepare in triplicate.
2. Give original to Defendant.
3. Send a copy to Clerk of Court.
4. Retain a copy for your files.

NAME OF DEFENDANT CLIFTON E. GRAVES JR.		JUDICIAL DISTRICT OR G.A. GA-14
LOCATION OF COURT (No., street, town) 101 LAFAYETTE ST. HTRD CT.		TELEPHONE NO. OF COURT 860-566-1630
OFFENSES CHARGED (Also specify statute number) FAILURE TO FILE CT INCOME TAX RETURN - (97-99-00) 12-737(a)		

NOTICE OF RIGHTS

1. You are not obligated to say anything, in regard to this offense you are charged with but may remain silent.
2. Anything you may say or any statements you make may be used against you.
3. You are entitled to the services of an attorney.
4. If you are unable to pay for the services of an attorney you will be referred to a Public Defender Office where you may request the appointment of an attorney to represent you.
5. You may consult with an attorney before being questioned, you may have an attorney present during questioning and you can not be questioned without your consent.
6. (Not applicable if you were arrested on a Superior Court Warrant which specified that bail should be denied or which ordered that you be brought before a clerk or assistant clerk of the Superior Court.)
You have a right to be promptly interviewed concerning the terms and conditions of your release pending further proceedings, and upon request, counsel may be present during this interview.

ADVERTENCIA DE DERECHOS

1. Usted no está obligado a decir nada en cuanto a esta ofensa por la cual se le acusa, pero puede permanecer en silencio.
2. Cualquier cosa que usted diga o alguna declaración que usted haga puede ser usada contra usted.
3. Usted tiene derecho a los servicios de un Abogado.
4. Si usted no puede pagar por los servicios de un Abogado, usted será referido a la Oficina del Defensor Publico donde puede usted solicitar el asignamiento de un Abogado para representarlo.
5. Usted puede consultar con un Abogado antes de ser interrogado. Puede tener un Abogado presente durante el interrogatorio y no puede ser interrogado sin su consentimiento.
6. (Esto no aplica si a usted lo arrestaron con una orden de arresto de la Corte Superior que especificaba que se le negara fianza u ordenaba que a usted se le presentara ante el secretario o el ayudante a secretario de la Corte Superior.)
Usted tiene el derecho de ser entrevistado prontamente acerca de los términos y condiciones de su libertad, pendiente a procedimientos adicionales y sobre solicitud el Abogado Consultar puede estar presente durante esta entrevista.

I, the undersigned, have advised the Defendant of the Defendant's rights as stated above:

SIGNED (Authorized Person) 	TITLE S.A.	DATE AND TIME ADVISED 11/9/01 11:10 A.M.
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I have been advised of my rights as stated above and have received a copy of this notice.

He sido instruido acerca de los derechos que aparecen en esta notificacion, de la cual he recibido copia.

SIGNED (Defendant)

The Judicial Branch complies with the Americans With Disabilities Act (ADA). If you need a reasonable accommodation in accordance with the ADA, please contact the clerk of court at the address noted above.

La Rama Judicial actúa de acuerdo con la ley de Americanos con Incapacidades (ADA). Si usted necesita arreglos especiales en conformidad con esta ley de ADA, haga el favor de ponerse en contacto con las secretaria del Tribunal de Connecticut en la dirección arriba mencionada.

FOR COURT USE ONLY	
FILE DATE	
DOCKET NO.	

ARREST WARRANT APPLICATION

JD-CR-64 Rev. 9-98
C.G.S. § 54-2a, Pr. Bk. Sec. 36-1, 36-2, 36-3

**STATE OF CONNECTICUT
SUPERIOR COURT**

FOR COURT USE ONLY	
Supporting Affidavits Sealed	
<input type="checkbox"/> YES	<input type="checkbox"/> NO

NAME AND RESIDENCE (Town) OF ACCUSED Graves, Clifton E. Jr. IKA New Haven, CT.	COURT TO BE HELD AT (Town) Hartford	G.A. NO. 14
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APPLICATION FOR ARREST WARRANT

TO: A Judge of the Superior Court

The undersigned hereby applies for a warrant for the arrest of the above-named accused on the basis of the facts set forth in the...

affidavit below... affidavit(s) attached.

DATE AND SIGNATURE	DATE 10-31-01	SIGNED (Prosecutorial Official)
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AFFIDAVIT

The undersigned, being duly sworn, deposes and says:

1. That the undersigned affiant, Robert Valente, is a duly sworn member of the Connecticut Department of Revenue Services, (DRS), and is presently assigned to the Special Investigations Section. At all times mentioned herein this affiant was acting as a member of said department. The following facts and circumstances were derived from an investigation that was based on, among other things, personal knowledge and observations; as well as information received from other investigators; from other members of DRS; and from official documentation.
2. That based on certain determining factors, singly or in any combination, the State of Connecticut required individuals who were residents, people who requested to be treated as residents, nonresidents, and part-year residents of Connecticut in 1998, 1999, and 2000, to file Connecticut income tax returns for each of those years. Those returns were due at DRS in Hartford, Connecticut on, and/or, must have displayed a U.S. Post Office cancellation mark of, April 15, 1999, April 18, 2000, and April 17, 2001 respectively, for them to be considered timely.
3. That one of the previously mentioned determining factors requiring the filings of 1998, 1999, and 2000 Connecticut income tax returns, were the withholdings of Connecticut income taxes. If the involved resident, person requesting to be treated as a resident, nonresident, or part-year resident realized the withholdings of 1998, 1999, and 2000 Connecticut income taxes from their wages, they were required to file Connecticut income tax returns for each of those years.
4. That another determining factor requiring the filings of 1998, 1999, and 2000 Connecticut income tax returns, were the Gross Income Tests. The Gross Income Tests for each of those years directed the involved resident, person requesting to be treated as a resident, or part-year resident to file Connecticut income tax returns for those years, if their gross wages for each of those years exceeded certain monetary

DATE AND SIGNATURE	DATE 10-30-2001	SIGNED (Affiant) Robert Valente
JURAT	SUBSCRIBED AND SWORN TO BEFORE ME ON (Date) 10-30-01	SIGNED (Judge, Clerk, Comm. Sup. Ct., Notary Pub.) CALVIN A. MELLOR NOTARY PUBLIC My Commission Exp. Nov. 30, 2005

FINDING

The foregoing Application for an arrest warrant, and affidavit(s) attached to said Application, having been submitted to and considered by the undersigned, the undersigned finds from said affidavit(s) that there is probable cause to believe that an offense has been committed and that the accused committed it and, therefore, that probable cause exists for the issuance of a warrant for the arrest of the above-named accused.

DATE AND SIGNATURE	DATE NOV - 1 2001	SIGNED (Judge, Clerk, Comm. Sup. Ct., Notary Pub.)
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ARREST WARRANT APPLICATION

JD-CR-64 Rev. 9-98
C.G.S. § 54-2a, Pr. Bk. Sec. 36-1, 36-2, 36-3

**STATE OF CONNECTICUT
SUPERIOR COURT**

FOR COURT USE ONLY	
Supporting Affidavits Sealed	
<input type="checkbox"/> YES	<input type="checkbox"/> NO

NAME AND RESIDENCE (Town) OF ACCUSED Graves, Clifton E., Jr. LKA New Haven, CT.	COURT TO BE HELD AT (Town) Hartford	G.A. NO. 14
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AFFIDAVIT

The undersigned, being duly sworn, deposes and says:

thresholds. Those same Gross Income Tests also directed all non-residents to file Connecticut income tax returns for the same years, if they realized Connecticut source incomes exceeding those same monetary thresholds. Those involved monetary thresholds were as follows.

The Gross Income Test for 1998:

- a) \$12,000 for a Single or Married person filing separate;
- b) \$19,000 for a Head of Household;
- c) \$24,000 for Married persons filing jointly.

The Gross Income Test for 1999:

- a) \$12,000 for a Single or Married person filing separate;
- b) \$19,000 for a Head of Household;
- c) \$24,000 for Married persons filing jointly.

The Gross Income Test for 2000:

- a) \$12,000 for a Married person filing separate;
- b) \$12,250 for a Single person;
- c) \$19,000 for a Head of Household;
- d) \$24,000 for Married persons filing jointly.

5. That after a routine course of events, DRS determined the above accused did not file Connecticut income tax returns for 1998, 1999, and 2000. That information led to the undersigned affiant's investigation and subsequent retrieval of DRS records. Those records revealed the above accused earned Connecticut source wages during 1998, 1999, and 2000. Those DRS records indicated those Connecticut

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JURAT	SUBSCRIBED AND SWORN TO BEFORE ME ON (Date) 10-30-01	SIGNED (Judge, Clerk, Comm. Sup. Ct., Notary Pub.)

**CALVIN A. MELLOR
NOTARY PUBLIC**
My Commission Exp. Nov. 30, 2005

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DATE AND SIGNATURE	DATE NOV - 1 2001	SIGNED (Judge, Clerk, Comm. Sup. Ct., Notary Pub.)
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ARREST WARRANT APPLICATION

JD-CR-64 Rev. 9-98
C.G.S. § 54-2a, Pr. Bk. Sec. 36-1, 36-2, 36-3

**STATE OF CONNECTICUT
SUPERIOR COURT**

FOR COURT USE ONLY	
Supporting Affidavits Sealed	
<input type="checkbox"/> YES	<input type="checkbox"/> NO

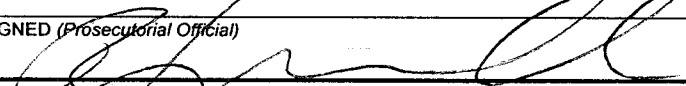
NAME AND RESIDENCE (Town) OF ACCUSED Graves, Clifton E. Jr. LKA New Haven, CT..	COURT TO BE HELD AT (Town) Hartford	G.A. NO. 14
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affidavit below... affidavit(s) attached.

DATE AND SIGNATURE	DATE 10-31-01	SIGNED (Prosecutorial Official) 
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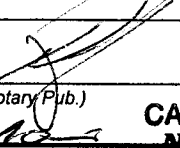
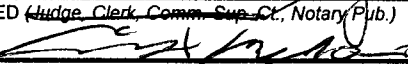
AFFIDAVIT

The undersigned, being duly sworn, deposes and says:

source gross wages for each of those years exceeded \$24,000.00. Those DRS records also indicate Connecticut income tax was withheld from the wages the above accused earned during each of those years.

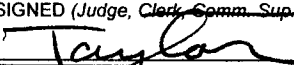
6. That on 10-30-2001, the undersigned affiant queried DRS records, in an attempt to locate 1998, 1999, and 2000 Connecticut income tax returns that were filed on behalf of the above accused. Using the above accused's name, (Clifton Edward Graves Jr.), and/or, Social Security Number 041-50-4440, (which the above accused has used in the past with DRS), the undersigned affiant discovered DRS had no such income tax returns on file.

7. That based on the previous facts and circumstances set forth herewith, the undersigned affiant feels there is probable cause to believe the above accused has committed the crimes of: Failure to file a 1998 Connecticut income tax return, in violation of C.G.S. 12-737(a); Failure to file a 1999 Connecticut income tax return, in violation of C.G.S. 12-737(a); and Failure to file a 2000 Connecticut income tax return, in violation of C.G.S. 12-737(a); and the undersigned affiant respectfully requests that an arrest warrant be granted charging those crimes.

DATE AND SIGNATURE	DATE 10-30-2001	SIGNED (Affiant) Robert Valente 
JURAT	SUBSCRIBED AND SWORN TO BEFORE ME ON (Date) 10-30-01	SIGNED (Judge, Clerk, Comm. Sup. Ct., Notary Pub.)  CALVIN A. MELLOR NOTARY PUBLIC My Commission Exp. Nov. 30, 2005

FINDING

The foregoing Application for an arrest warrant, and affidavit(s) attached to said Application, having been submitted to and considered by the undersigned, the undersigned finds from said affidavit(s) that there is probable cause to believe that an offense has been committed and that the accused committed it and, therefore, that probable cause exists for the issuance of a warrant for the arrest of the above-named accused.

DATE AND SIGNATURE	DATE NOV - 1 2001	SIGNED (Judge, Clerk, Comm. Sup. Ct., Notary Pub.) 
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ORDER OF RESTITUTION

JD-CR-130 New 10-01
P.A. 01-211

STATE OF CONNECTICUT
SUPERIOR COURT
www.jud.state.ct.us



INSTRUCTIONS TO CLERK

1. Prepare one form for each victim.
2. Retain original in court file.
3. Provide defendant with a copy of the order at sentencing.
4. Provide the victim with a certified copy by certified mail.
5. Provide a copy to the CSSD IAR Unit - OR, if restitution is in addition to Special Parole, attach to mittimus for the Board of Parole.

COURT <input checked="" type="checkbox"/> G.A. No. <u>14</u> <input type="checkbox"/> J.D. of _____	AT (Town) <u>HFD</u>	DOCKET NO. <u>CR01-554777</u>
NAME AND ADDRESS OF DEFENDANT <u>Clifton Graves - 85 Brooklawn Cir., N. Haven, 06515</u>		
NAME OF VICTIM (Omit if victim of sexual assault, risk of injury to a minor, or otherwise confidential) <u>Dept. of Revenue Services, 25 Sigourney St., HFD</u>		

ORDER OF THE COURT

You have been convicted of an offense that resulted in injury to another person or damage to or loss of property. As part of your sentence, you are hereby ordered to make financial restitution, payable in the following amounts:

The restitution amount(s) are as follows:

- \$ 4276.00 Amount of damages for injury of loss of property
- \$ _____ Actual expenses incurred for treatment for injury
- \$ _____ Lost wages resulting from the injury
- \$ _____ Costs of counseling related to the offense
- \$ _____ Other: _____

\$ 4276.00 TOTAL AMOUNT DUE, to be paid in installment(s) of: \$ 200 per month

To be paid in full by: _____

Payments are to be made:

- As directed by the Court Support Services Division of the Judicial Branch. Method of payment must be Certified Check of Money Order (no cash or personal checks)
- As directed by the Board of Parole.
- On a joint and several basis with: _____

Other: if rest. is made before end of prob. I can file note to have prob. to early

BY ORDER OF THE COURT	SIGNED (Judge, Asst. Clerk) <u>[Signature]</u>	DATE SIGNED <u>12-20-04</u>	For Court Use Only
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CERTIFICATION

I hereby certify that the foregoing is a true copy of the order of restitution in the herein named cause, as on file and of record appears.

In witness whereof, I have hereunto set my hand and the seal of said court on:

DATE <u>DEC 21 2004</u>	SIGNED (Judge, Asst. Clerk) <u>[Signature]</u>
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This order is enforceable as a judgment in a civil action as provided in C.G.S. §53a-28a.